IAP2 Rec'd PCT/PTO 14 DEC 2005

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| | ANSMITTAL LETTER TO THE UNITED STATES | ATTORNEY'S DOCKET NUMBER 00435P0012WOUS | | | | | |
|--|--|--|--|--|--|--|--|
| | DESIGNATED/ELECTED OFFICE (DO/EO/US) CERNING A SUBMISSION UNDER 35 U.S.C. 371 | U.S. APPLICATION NO. (II known see 37 CFR 1.5) | | | | | |
| | T/EP04/06449 INTERNATIONAL FILING DATE 6/16/2004 | PRIORITY DATE CLAIMED 6/20/2003 | | | | | |
| TITLE OF | Flupirtin Injectable Galenic Form | | | | | | |
| APPLICAN | T(S) FOR DO/EO/US Michael Pieroth et al. | | | | | | |
| Applicant | herewith submits to the United States Designated/Elected Office (DO/EC | D/US) the following items and other information: | | | | | |
| 1. X | 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | |
| 2. T | 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | |
| 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | |
| 4. X | The US has been elected (Article 31). | | | | | | |
| 5. X | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | |
| | a. is attached hereto (required only if not communicated by the Internation | nal Bureau). | | | | | |
| | b. 🗶 has been communicated by the International Bureau. | | | | | | |
| | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | |
| 6. | An English language translation of the International Application as filed (35 U.S.C | C. 371(c)(2)). | | | | | |
| | a. is attached hereto. | | | | | | |
| | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | |
| | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | |
| | b. have been communicated by the International Bureau. | | | | | | |
| | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | |
| | d. have not been made and will not be made. | | | | | | |
| 8. 🔲 | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | |
| 10. | An English language translation of the annexes of the International Preliminary Enticle 36 (35 U.S.C. 371(c)(5)). | Examination Report under PCT | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | |
| 11. X | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. (4 page | es) | | | | | |
| 12. 🔲 | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. 🔲 | A preliminary amendment. | | | | | | |
| 14. X | An Application Data Sheet under 37 CFR 1.76. (2 pages) | | | | | | |
| 15. | A substitute specification. | | | | | | |
| 16. 🔲 | A power of attorney and/or change of address letter. | | | | | | |
| 17. 🗌 | A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter</i> .2 and 37 CFR 1.821- 1.825. | | | | | | |
| 18. 🗀 | A second copy of the published International Application under 35 U.S.C. 154(d)(4). | | | | | | |
| 19. | A second copy of the English language translation of the international application | n under 35 U.S.C. 154(d)(4). | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 3

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| U.S. APPLICAT | 56042°0 | 7 CFR 1.5) | INTERNATIONAL AF PCT/EP04/(| | ATTORNEY'S DO 00435P0012V | |
|---|--|---|--|----------------------------------|---------------------------------------|--------------|
| 20. Other i | tems or information: | · | | | | |
| The follo | wing fees have been s | ubmitted | | | CALCULATIONS | PTO USE ONLY |
| 21. X Basic | national fee (37 CFR 1 | .492(a)) | | \$300 | \$ 300.00 | |
| — If the written opini by IPEA/∖ | ination fee (37 CFR 1.4 on prepared by ISA/US IS indicates all claims s s | \$ 200.00 | | | | |
| If the written opini IPEA/US Search fee (37 CF Internatio International Sear previously | ch fee (37 CFR 1.492(b on of the ISA/US or the indicates all claims sati FR 1.445(a)(2)) has bee nal Searching Authority ch Report prepared by communicated to the Us. | \$ 400.00 | | | | |
| · · · · · · · · · · · · · · · · · · · | TOTAL OF 21, 22 and | | | | · · · · · · · · · · · · · · · · · · · | |
| Additional fee sequence electronic | for specification and d listing in compliance w medium) (37 CFR 1.49 | rawings filed rith 37 CFR 1 92(j)). | in paper over 100 sheets (e .821(c) or (e) or computer p of paper or fraction thereof. | xcluding rogram listing in an | | |
| Total Sheets | | | | | | |
| - 100 = | /50 = | | | x \$250 | \$ | 1 |
| Surcharge of \$130 after the date of c | 0.00 for furnishing any commencement of the n | of the search ational stage | fee, examination fee, or the (37 CFR 1.492(h)). | oath or declaration | \$ 130.00 | |
| CLAIMS | NUMBER FI | LED | NUMBER EXTRA | RATE | \$ | |
| Total claims | | - 20 = | | x \$50 | \$ | |
| Independent clain | ıs | - 3 = | | × \$200 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 | | | | | \$ | 1 |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$ 1030.00 | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | | |
| SUBTOTAL = | | | | | \$ | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | \$ 130.00 | |
| TOTAL NATIONAL FEE = | | | | | \$ 1160.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | | \$ 1160.00 | |
| _ | | | | | Amount to be refunded: | \$ |
| · | | | | | Amount to be charged | \$ |

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| | | M20 NGC 0 PG1/P10 14 DEC 2005 | | | |
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| а. 🔲 | A check in the amount of \$ | _ to cover the above fees is enclosed. | | | |
| b. 🗆 | Please charge my Deposit Account NoA duplicate copy of this sheet is enclosed. | in the amount of \$ to cover the above fees. | | | |
| с. Х | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 501199. A duplicate copy of this sheet is enclosed. | | | | |
| d. X | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| | | December 13, 2005 | | | |
| SEND ALL CORRESPONDENCE TO: | | Gudun E Hudus | | | |
| _ | 0008 | SIGNATURE | | | |
| 3 | | Gudrun E. Huckett | | | |
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